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#### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date appearing below.

ELI LILLY AND COMPANY

By Lindam. Dunb:n

Date September 22, 2004

# PATENT APPLICATION IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Peter Charles Astles et al.	) Confirmation No. 8378	
Serial No.:	10/500,517	) 6576 )	
International Filing Date:	July 29, 2002	, ) )	
For:	AZA-CYCLIC COMPOUNDS AS MODULATORS OF ACETYLCHOLINE	) ) )	
Docket No.:	X-14688	) )	

### **COMMUNICATION**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In response to the 371 Formalities Letter dated September 16, 2004, the Office requests that Applicants furnish a computer readable form of the "Sequence Listing", and a substitute paper copy or compact disc of the "Sequence Listing, as well as an amendment.

(#6)

Serial No. 10/500,517

Applicants respectfully advise that this application does not contain a nucleotide and/or amino acid sequence disclosure; therefore, no further documentation should be required.

Respectfully submitted,

Mark A. Winter Agent for Applicants Registration No. 53,782 Phone: 317-276-5362

Eli Lilly and Company Patent Division/MAW P.O. Box 6288 Indianapolis, Indiana 46206-6288

01/17/2002









#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PO DOX 1450 Alexandra, Vogens 22313-1450

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U.S. APPLICATION NUMBER NO	FIRST NAMED APPLICANT	ATTY DOCKET NO.	
10/500,517	Peter Charles Astles	X-14688	
1			

INTERNATIONAL APPLICATION NO.

PCT/US02/21297

1A FILING DATE PRIORITY DATE

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ELI LILLY AND COMPANY
PATENT DIVISION
P.O. BOX 6288
INDIANAPOLIS, IN 46206-6288

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SEP 21 2004

ELI LILLY & COMPANY, PATENT DEPT,

CONFIRMATION NO. 8378 371 FORMALITIES LETTER

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07/29/2002

Date Mailed: 09/16/2004

Due 11-16-04

## NOTIFICATION TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

The following items MUST be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
  - This application does not contain a "Sequence Listing" as a separate part of the disclosure on paper copy or compact disc, as required by 37 CFR 1.821(c) and PCT Rule 5.2(a).
  - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37.CFR 1.821(e) and PCT Rule 13ter.1(a)(ii).
  - APPLICANT MUST PROVIDE:
    - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
    - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact;
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase Patentin Software, call (703) 306-2600
  - For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

BARBARA A CAMPBELL	. •
Telephone: (703) 305-3631	